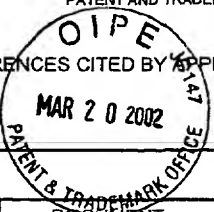


Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 215472US0		SERIAL NO. 10/029,289	
LIST OF REFERENCES CITED BY APPLICANT  				APPLICANT Klaus SCHULTES, et al.			
				FILING DATE December 28, 2001		GROUP 1714	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
P.S.	AO	722 219	01/19/55	GREAT BRITAIN			
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
P.S.	AW	Chemical Abstracts, Vol. 92, No. 8, AN 92:59433w, page 15, XP 002189328, February 25, 1980, RO 62 938, November 25, 1977					
	AX						
	AY						
	AZ						
Examiner <i>Peter Sokely</i>					Date Considered <i>9/10/03</i>		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 215472US0		SERIAL NO. 10/029,289	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Klaus SCHULTES, et al.			
				FILING DATE December 28, 2001		GROUP 1714	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
P.S.	AA	6,355,712	03/12/02	Schultes, et al.	524	296	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
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	AQ						
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	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner <i>Peter Scales</i>				Date Considered <i>9/10/03</i>			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
0583-0409-0	6,355,712	03/12/02	SCHULTES, et al.
212248US0 PCT	09/926,484	11/09/01	MEIER, et al.
215472US0*	10/029,289	12/28/01	SCHULTES, et al.
215473US0	10/029,265	12/28/01	SCHULTES, et al.
231992US0 PCT	10/363,319	03/03/03	SCHULTES, et al.

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GROUP 1700

\*Present Application; listed for information  
NFO/ltc

Docket No. 215473US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTES, et al.

SERIAL NO: 10/029,289

GAU: 1711

FILED: December 28, 2001

EXAMINER:

FOR: MOULDING COMPOSITIONS WITH DIFFUSING PROPERTIES AND MOULDINGS OBTAINABLE FROM THESE

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TC 1700

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicants pending applications/issued patent which may be related to the present application. A copy of the patent, together with a copy of the claims and drawings of the pending applications is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon

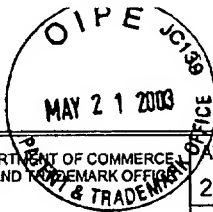
Registration No. 24,618

Roland E. Martin  
Registration No. 48,082



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Fax. (703) 413-2220  
(OSMMN 03/02)

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

PATENT DOCKET NO.

215473US0

SERIAL NO.

10/029,265

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Klaus SCHULTES, et al.

FILING DATE

December 28, 2001

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
P.S.	AA	6,355,712	03/12/02	SCHULTES, et al.	524	296	
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	AH						
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	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
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	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

*Peter Srebnick*

Date Considered

*9/10/03*

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<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
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\*Present Application; listed for information  
NFO/ltc

Docket No. 215472US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTES, et al.

SERIAL NO: 10/029,289

GAU: 1714

FILED: December 28, 2001

FOR: PROCESS FOR PREPARING BEAD POLYMERS WITH AN AVERAGE PARTICLE SIZE IN THE RANGE FROM 1 TO 40  $\mu$ M, MOULDING COMPOSITIONS COMPRISING BEAD POLYMER, AND MOULDINGS AND PAMA PLASTISOLS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

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Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
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DEPOSIT ACCOUNT

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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Norman F. Oblon

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Docket No. 215472US0/shb



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTZES, et al.

SERIAL NO: 10/029,289

GAU: 1714

FILED: December 28, 2001

EXAMINER:

FOR: PROCESS FOR PREPARING BEAD POLYMERS WITH AN AVERAGE PARTICLE SIZE IN THE RANGE FROM 1 TO 40  $\mu$ M, MOULDING COMPOSITIONS COMPRISING BEAD POLYMER, AND MOULDINGS AND PAMA PLASTISOLS

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

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REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
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Respectfully submitted,

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